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JUN 26 2006  
PATENT & TRADEMARK OFFICE

PTO/SB/17 (10-03)

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#3

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 100

Complete if Known

Application Number 09/282,274

Filing Date March 31, 1999

First Named Inventor MO

Examiner Name S. Hu

Art Unit 2811

Attorney Docket No. 018865-000800US

Certificate

JUN 29 2006

of Correction

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent Claims						
Multiple Dependent						

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Request for Certificate of Correction

100

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$ )100

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) C. Bart Sullivan

Registration No. (Attorney/Agent) 41,516

Telephone 415-576-0200

Signature

C. Bart Sullivan

Date

June ( 21 ), 2006

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JUN 30 2006



<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/282,274
		Filing Date	March 31, 1999
		First Named Inventor	MO
		Art Unit	2811
		Examiner Name	S. Hu
Total Number of Pages in This Submission	5	Attorney Docket Number	018865-000800US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>  Return Postcard, Request for Certificate of Correction, Certificate of Correction
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP C. Bart Sullivan Reg. No. 41,516
Signature	
Date	June ( ), 2006

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Mark T. Davis		
Signature		Date	June ( 21 ), 2006

JUN 30 2006



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Certificate of Correction Branch  
Commissioner for Patents,  
P.O. Box 1450  
Alexandria, VA 22313-1450

on June 22, 2006

TOWNSEND and TOWNSEND and CREW LLP

By [Signature]  
Mark T. Davis

PATENT  
Attorney Docket No.: 18865-000800  
17732.8026

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent No.: 6,316,806 B1:

Examiner: HU, S.

Issued: November 13, 2001

Art Unit: 2811

For: TRENCH TRANSISTOR WITH A  
SELF-ALIGNED SOURCE

REQUEST FOR CERTIFICATE OF  
CORRECTION UNDER §1.323

Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.323, Applicant submits a Certificate of Correction correcting two minor errors inadvertently left uncorrected by Applicant.

This correction to the Abstract: 1) corrects a typographical error, changing the word "an" to --at--; and 2) harmonizes the Abstract with the detailed description at col. 2, lines 25-26, col. 6, lines 65-67, and FIGS. 5, 6A, and 6C.

With respect to item #2: as filed, the specification made four references to rotation of the substrate by 180°. In the first Office Action, mailed June 13, 2000, the Examiner objected to one of these references, stating: "On Page 10, line 31, the term of "180 degree" apparently should read as --90 degree--." (Office Action mailed June 13, 2000, at page 2).

During subsequent prosecution, two amendments were entered that corrected three of the four references present in the specification relating to rotation of the substrate by 180°. These corrections changed references to "180 degrees" to read as --90 degrees--. However, in filing these amendments, correction of the fourth reference to "180 degrees," located in the Abstract, was overlooked by attorneys for the Applicant. Therefore, we are submitting this Certificate of Correction to correct this minor, inadvertent error and harmonize the Abstract with the remainder of the detailed description.

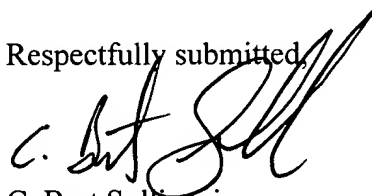
06/28/2006 HANDED1 00000090 201430 6316805

01 FC:1811 100.00 DA

JUN 30 2006

The desired corrections are set forth on form PTO-1050, enclosed. Please deduct the fee, pursuant to 37 CFR §1.20(a), of \$100.00, and any additional fees associated with this Certificate from Deposit Account 20-1430. A fee transmittal sheet is included herewith.

Respectfully submitted,



C. Bart Sullivan  
Reg. No. 41,516

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,316,806 B1  
DATED : November 13, 2001  
INVENTOR(S) : Brian Sze-Ki Mo

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Title Page,  
Item [57], ABSTRACT,  
Line 4, "implanting an" should be --implanting at--

Line 14, "180 degrees" should be --90 degrees--

MAILING ADDRESS OF SENDER: C. Bart Sullivan, Reg. No. 41,516  
Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834

PATENT NO. 6,316,806 B1

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